

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of

D. A. Rockwell, et al

Serial No. Unassigned

Filed: Filed Herewith

For: OPTICAL CROSS-CONNECT SWITCH FOR HIGH-BIT-RATE
SPACE-BASED COMMUNICATIONS

Attorney Docket No: PD-00-452 (BOE 0215 PA)

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail under label number EL 819 995 155 US, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

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Signature

**DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.56**

Assistant Commissioner for Patents
Washington, D.C. 20231

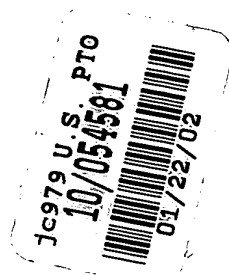
Sir:

In compliance with 37 C.F.R. § 1.56, the art listed and identified on the attached Form PTO-1449B is being submitted herewith for consideration by the Examiner. A copy of the listed references is included herewith.

The information disclosure statement submitted herewith is being filed before the mailing date of a first Office Action on the merits, pursuant to 37 C.F.R. § 1.97(b).

It is Applicant's opinion that the claims presently on file patentably distinguish the present invention from each of these references. The above references are being cited only in the interests of candor and without any admission that they constitute statutory prior art or

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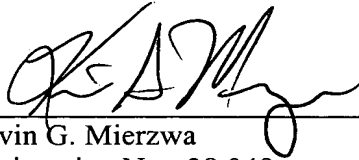


contain matter which anticipates the invention or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art.

The Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-0476.

Respectfully submitted,

ARTZ & ARTZ, P.C.



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Date: 1/22/02

Substitute for Form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	Unassigned
				Filing Date	Filed Herewith
				First Named Inventor	D. A. Rockwell
				Group Art Unit	Unknown
				Examiner Name	Unknown
Sheet	1	of	1	Attorney Docket Number	PD-00-452 (BOE 0215 PA)

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	P.J. Rigole, et al., "Fast wavelength switching in a widely tunable GCSR laser using a pulse pre-distortion technique," in <i>Optical Fiber Communications Conference, 1997</i> , OSA Technical Digest (Optical Society of America, Washington, DC, 1997), paper WL63, p. 231.	
	2	E. Iannone and R. Sabella, "Optical path technologies: a comparison among different cross-connect architectures," <i>J. Lightwave Tech.</i> 14 , 2184 (1996).	
	3	R. Schnabel, et al., "Polarization insensitive frequency conversion of a 10-channel OFDM signal using four-wave mixing in a semiconductor laser amplifier," <i>IEEE Photon. Tech. Lett.</i> 6 , 56 (1994).	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.